**Form 05: Unit Risk Assessment Matrix – Risk Statements**

1. Once you have completed the unit profile you are ready to complete the risk statements
2. In the space provided, below the unit risk assessment matrix based on the risk ranking in the unit risk assessment matrix list the 3 top areas you will audit
3. In the space provided list the 2 lower ranked areas you will audit if time permits.

**Template Instructions:**

*Modify this Microsoft Word template and text as needed for your engagement. While making your modifications* ***don’t forget to remove the yellow highlighted areas and edit the green highlighted areas as needed.****! Lt Dan*

**Unit Risk Assessment Matrix – Risk Statements: Audit of \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **List source of risk** | **Develop risk statements. Provide examples of how the risk may be evident** | **Assess the likelihood that the risk may occur (H M L)** | **Assess the impact of the risk if it was to occur (H M L)** | **Final Rating** |
| Administration | See verbiage examples in section 3B |  |  |  |
| Equipment | Make sure you include the risk factors in your statements |  |  |  |
| Supervision | Risk factors are in section 3B |  |  |  |
| Facility |  |  |  |  |
| Training |  |  |  |  |
|  |  |  |  |  |
| Unit risk assessment matrix | | | | |

Based on the risk assessment process we will audit the following areas:

1.

2.

3.

If time permits, we may also audit the following areas:

1.

2.